



Quercetin, Rutin And Quercetin-Rutin Incorporated Hydroxypropyl β -Cyclodextrin Inclusion Complexes

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ABSTRACT

Quercetin (Q) and rutin (R) are well known and most studied flavonoids due to their activities in reduction of inflammation, oxidative damage, platelet aggregation and inhibition of cancer proliferation. Despite their remarkable potentials they have limited oral bioavailability due to the low water solubility. Therefore in this study inclusion complexes of Q and R with hydroxypropyl- β -cyclodextrin (HP- β -CD) were formulated to improve the aqueous solubility, antiproliferative efficacy and also antioxidant activity of the flavonoids. According to the analyses results, aqueous solubilities of Q and R were increased up to \sim 630 fold and \sim 55 fold, respectively. ZP values were ranged between -21.7 ± 0.3 mV and -6.1 ± 0.8 mV showing the anionic structure of the complexes. 1 H-NMR analyses revealed the complex formation considering the shifts of the protons of the APIs as well as HP- β -CD. The *in vitro* release analyses revealed that the cumulative release of Q was decreased from 22.9 % to 18.1 and 15.2 for T9 and T24 formulations respectively while the cumulative release of R increased from 26.8 % up to 64.5 % and 75.8 % with T14 and T24 formulations respectively. According MTT analyses results, Q showed higher antiproliferative effect in MDA-MB-231 and A549 cell lines compared to NIH-3T3 cell lines while R showed remarkable effect only on MDA-MB-231 cell lines at the end of 48 h of incubation period. A synergistic effect was observed in the formulation of combined flavonoid (Q/R) inclusion complexes and an antiproliferative effect was ordered as MDA-MB-231 > A549 > NIH-3T3. The selected complexes T9 (Q), T14 (R) and T24 (Q/R) have shown the highest antioxidant activity with 93.8 %, 65.3 % and 93.1 % respectively with DPPH analyses. In conclusion incorporation of Q, R and Q/R to HP- β -CD based inclusion complexes have great potentials with enhanced *in vitro* dissolution characteristics and antiproliferative effects on different types of cancer cell lines for efficient treatment of severe disorders.

1. Introduction

Flavonoids are plant originated polyphenolic compounds which play very important role in free radical trapping by acting as chelators (Iacopini et al., 2008; Alsaif et al., 2020). In many cases free radicals were regarded as the leading reasons of many diseases like aging, tissue damage, Parkinson's disease, Alzheimer's disease, neuroprotective effect in epilepsy, diabetes mellitus, cardiovascular diseases. They have also protection ability against oxidative stress and UV damages, and also show enzymatic activity and gene expression modulations, as well as viral, fungal, and bacterial protections (Wang et al., 2021; Akyuz et al., 2021; Ilyich et al., 2021). Inhibitory effects on cancer cell lines were also

reported (Paodel et al., 2021; Tripathi et al., 2021). Among the polyphenolic group members, Quercetin (3,3',4',5,7-pentahydroxyflavone) (Q) is one of the most studied flavonoid due to its activities in reduction of inflammation, oxidative damage, platelet aggregation, and capillary permeability. Q also exhibits antiviral, antiinflammatory, anticancer, antiobesity activities with protective activities in cardiovascular, hepatoprotective, and neuroprotective activities with antidepressant activity however its pharmaceutical potential was limited due to the low water solubility related limited oral bioavailability (D'Andrea 2015; Güleç and Demirel 2016; Zhang, Ning, and Wang 2020). Rutin (R) (quercetin-3-O-rutinoside or 3',4',5,7-tetrahydro-*o*-hydroxyflavone-3-rutin oside) is also a well known flavonoid with anti-inflammatory,

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Table 1

Compositions and preparation methods of the complexes.

Code	Method	Shaking time (h)	Q (mg)	R (mg)	HP- β -CD (mg)	Ethanol (mL)	Water (mL)
T9	FD	24	10.00	-	48.30	32	32
T10	FD	-	10.00	-	48.30	32	32
T11	SE	24	10.00	-	48.30	32	32
T12	SE	-	10.00	-	48.30	32	32
T13	FD	24	-	10.00	23.94	32	32
T14	FD	-	-	10.00	23.94	32	32
T15	SE	24	-	10.00	23.94	32	32
T16	SE	-	-	10.00	23.94	32	32
T21	FD	24	9.40	9.40	30.00	32	32
T22	FD	-	9.40	9.40	30.00	32	32
T23	SE	24	9.40	9.40	30.00	32	32
T24	SE	-	9.40	9.40	30.00	32	32

*FD: Freeze drying; SE: Solvent evaporation.

antiplatelet, vasoactive, antihypertensive, antiallergic, antispasmodic, hypolipidaemic, cytoprotective, antitumor, antiprotozoal, antibacterial, and antiviral activities even for possible Inhibition of SARS-CoV-2 vital proteins. R also has low water solubility which limits its therapeutic use (Ekaette and Saldaña 2021; Paudel *et al.*, 2021; Rahman *et al.*, 2021).

Cyclodextrins (CDs) are natural cyclic oligosaccharides containing six, seven and eight glucopyranose units (named α -CD, β -CD and γ -CD respectively) obtained from the enzymatic degradation of starch. They have truncated conical structure having a hydrophobic interior and hydrophilic outer structure containing primary and secondary -OH groups (Chen *et al.*, 2017). In an aqueous environment, these carbohydrates (host molecule) can locate the lipophilic agents (guest molecule) in their inner cavities forming highly water soluble inclusion complexes with the help of water soluble outer surfaces while enhancing the stability of incorporated agent (Hammoud *et al.*, 2020; Buko *et al.*, 2020; Liu *et al.*, 2021). Besides natural CDs many functional groups can also be incorporated into the structures which enhances the water solubility in great extend with different inner cavity dimentions (Challa *et al.*, 2005).

Among the commonly used CDs, hydroxypropyl- β -cyclodextrin (HP- β -CD) is one of the most used CD due to high water solubility (> 600 mg/mL), low cost, low toxicity and high solubility enhancement ability makes HP- β -CD preferential even for parenteral applications. HP- β -CD has a hydrophobic cavity of size range of 0.60 - 0.65 nm which gives possibility to incorporate large scale of active agents (Al-Qubaisi *et al.*, 2019; Wang *et al.*, 2020; Melo *et al.*, 2020).

A literature search revealed several papers dealing with the use of various types of CDs for the enhancement of water solubility of Q (Zheng and Chow 2009; Kellici *et al.*, 2016; Manta *et al.*, 2020; Park *et al.*, 2017) and R (Paczkowska *et al.*, 2015; Savic *et al.*, 2016; Franco and De Marco 2021) using different preparation methods. For example, Celik *et al.* (2015) have studied Q and R inclusion complexes with β -CD and HP- β -CD in solution while Sri *et al.* (2007) have prepared inclusion complexes in solid state by kneading and co-evaporation method. However, none of the former studies have tried to incorporate both Q and R at the same time into the inclusion complexes. Taking into consideration the former studies, in this study Q, R and Q/R were incorporated into HP- β -CD inclusion complexes with freeze drying and solvent evaporation methods. Characterization studies were performed in detail. The possible synergistic effect of combined flavonoids on antioxidant activity as well as the toxicity were also evaluated.

2. Materials and Methods

2.1. Materials

Quercetin, Rutin hydroxypropyl β -cyclodextrin, ethanol, methanol, acetic acid, hydrochloric acid and potassium chloride were purchased from Sigma-Aldrich (Steinheim, Germany). 2,2-diphenyl-1-picrylhydrazyl (DPPH) was purchased from Fluka (Steinheim, Germany). Deutero chloroform was from Merck (Darmstadt, Germany). Spectrophotometry-

grade dimethyl sulfoxide (DMSO), Dulbecco's Modified Eagle's Medium (DMEM), trypsin/EDTA solution (0.25 %), and MTT dye were purchased from Sigma-Aldrich (Darmstadt, Germany). Fetal bovine serum, penicillin, and streptomycin solution were purchased from Capricorn Scientific GmbH (Ebsdorfergrund, Germany). All other chemicals were of analytical grade.

2.2. Determination of Q and R by HPLC

For the determination of the active agents, modified HPLC method was used (Zhao *et al.*, 2011). Shimadzu LC-20 AT, (Japan), Inertsil ODS-3 C18 column (150 mm x 4.6 mm, 5 μ m) (GL Sciences, Japan) with auto injector (Shimadzu, Japan) was used. Methanol : water : acetic acid (5 %) 65 : 33 : 2 (v / v / v) was determined as the mobile phase (1 mL. min^{-1}). Analyses were performed at 373 nm with 40 °C column temperature. According to ICH guidelines validation studies were performed (ICH 1995).

2.3. Determination of complex formation equilibration time

Complex formation equilibrium time was determined by comparing the solubility data in HP- β -CD solution. Briefly; HP- β -CD stock solution (10 mM) was prepared and excess amount of Q and R and Q/R (1:1, w/w) were added to HP- β -CD solution and strongly agitated (300 rpm) using a horizontal shaker (WiseShake SHR-1D, Korea) up to 72 hours. At predetermined time intervals samples were taken and filtered through the pre-saturated polyamide membrane filter (0.22 μ m) and were analyzed by HPLC. The time where solubility of the active agents remained unchanged regarded as the equilibrium time for the complexes (Loftsson *et al.*, 2005; Al-Heibsy *et al.*, 2020).

2.4. Preparation of inclusion complexes

Freeze drying (Laquintana *et al.*, 2019; Qu *et al.*, 2021) and solvent evaporation methods (H Wang *et al.*, 2020.) were used for the preparation of the complexes. In freeze dring method HP- β -CD was dissolved in ultra pure water while Q and R were dissolved in ethanol. Mixtures were vigorously shaken after the addition of flavonoid solution onto the CD solution at 300 rpm during the equilibrium period with being protected from direct sunlight. At the end of the shaking period the ethanol was evaporated under constant stirring at room temperature (25°C \pm 2°C) for 12 hours and mixtures were frozen at -80°C (New Brunswick Sci., USA) and freeze dried (Leybold-Heraeus Lyovac GT-2, Germany) (Laquintana *et al.*, 2019; Qu *et al.*, 2021).

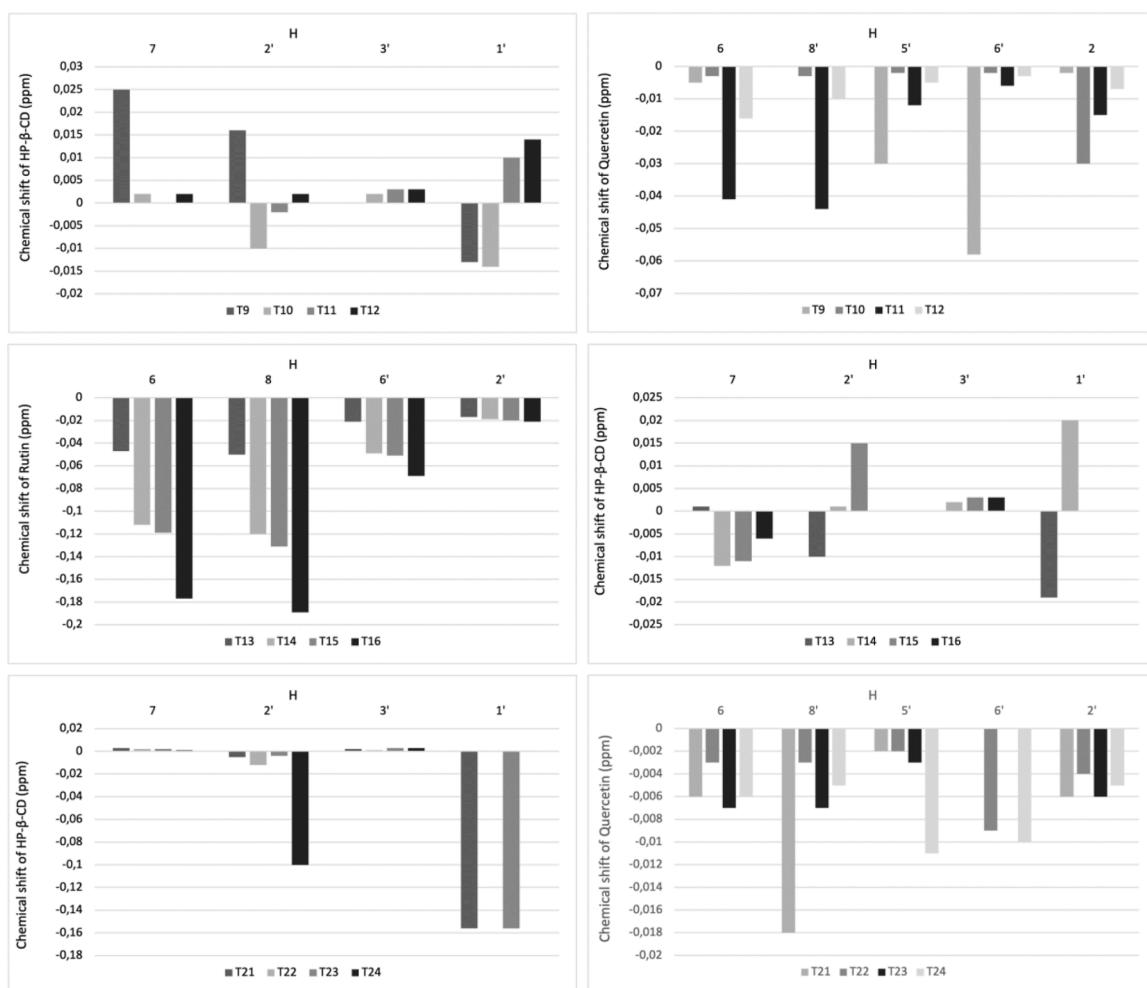
In solvent evaporation method HP- β -CD was dissolved in ultra pure water while Q and R were dissolved in ethanol. The mixtures were vigorously shaken at 300 rpm during the equilibrium period with protection from direct sunlight. At the end of the shaking period the mixtures were dried using Büchi R-205 (Switzerland) rotary evaporator at

Table 2Results of yield %, entrapment efficiency %, aqueous solubility and zeta potential analyses results of the complexes (mean \pm SE).

Code	Method*	Shaking Time (h)	Active Agent	Yield %	EE %	Aqueous Solubility ($\mu\text{g/mL}$)**	ZP (mV)
-	-	-	Q	-	-	1.5 \pm 0.0	-
-	-	-	R	-	-	34.3 \pm 0.1	-
T9	FD	24	Q	63.5 \pm 10.7	95.5 \pm 0.7	548.3 \pm 0.0	-13.0 \pm 0.1
T10	FD	-	Q	59.9 \pm 25.4	88.9 \pm 0.7	945.6 \pm 0.0	-11.6 \pm 0.1
T11	SE	24	Q	67.0 \pm 1.83	92.6 \pm 1.98	547.9 \pm 0.0	-20.6 \pm 0.3
T12	SE	-	Q	48.0 \pm 10.8	88.64 \pm 5.6	174.2 \pm 0.0	-21.7 \pm 0.3
T13	FD	24	R	73.2 \pm 9.3	95.4 \pm 4.3	488.3 \pm 0.1	-6.1 \pm 0.8
T14	FD	-	R	56.8 \pm 21.5	94.9 \pm 1.4	1901.4 \pm 0.0	-6.5 \pm 0.4
T15	SE	24	R	46.8 \pm 13.2	88.5 \pm 6.2	929.5 \pm 0.0	-12.9 \pm 1.1
T16	SE	-	R	68.3 \pm 10.7	92.7 \pm 6.1	668.6 \pm 0.0	-14.3 \pm 0.4
T21	FD	24	Q	45.2 \pm 14.7	81.0 \pm 5.7	89.8 \pm 0.0	-16.3 \pm 0.3
			R		86.2 \pm 5.6	705.0 \pm 0.0	
T22	FD	-	Q	76.3 \pm 7.3	90.3 \pm 0.5	71.7 \pm 0.0	-20.4 \pm 0.2
			R		97.1 \pm 1.3	525.9 \pm 0.0	
T23	SE	24	Q	49.9 \pm 6.8	100.6 \pm 2.4	141.6 \pm 0.0	-11.2 \pm 0.5
			R		81.9 \pm 2.3	904.7 \pm 0.0	
T24	SE	-	Q	52.6 \pm 11.0	91.0 \pm 1.7	136.5 \pm 0.1	-11.0 \pm 1.2
			R		100.0 \pm 1.7	1271.0 \pm 0.0	

*FD: Freeze drying; SE: Solvent evaporation.

** determined as the amount dissolved in water after 1 h under vigorous agitation.

**Fig. 1.** Chemical shifts of the complexes.

50 °C \pm 1°C under 100 mbar (Wang *et al.*, 2020). For the determination of shaking effect on the complex formations same formulations were prepared without shaking step. The compositions of the prepared formulations were presented in Table 1.

2.5. Determination of the entrapment efficiency

For the determination of entrapment efficiency (EE) % of the complexes, accurately weighed formulations were dissolved in mobile phase and Q and R amounts were determined by validated HPLC analyses. EE

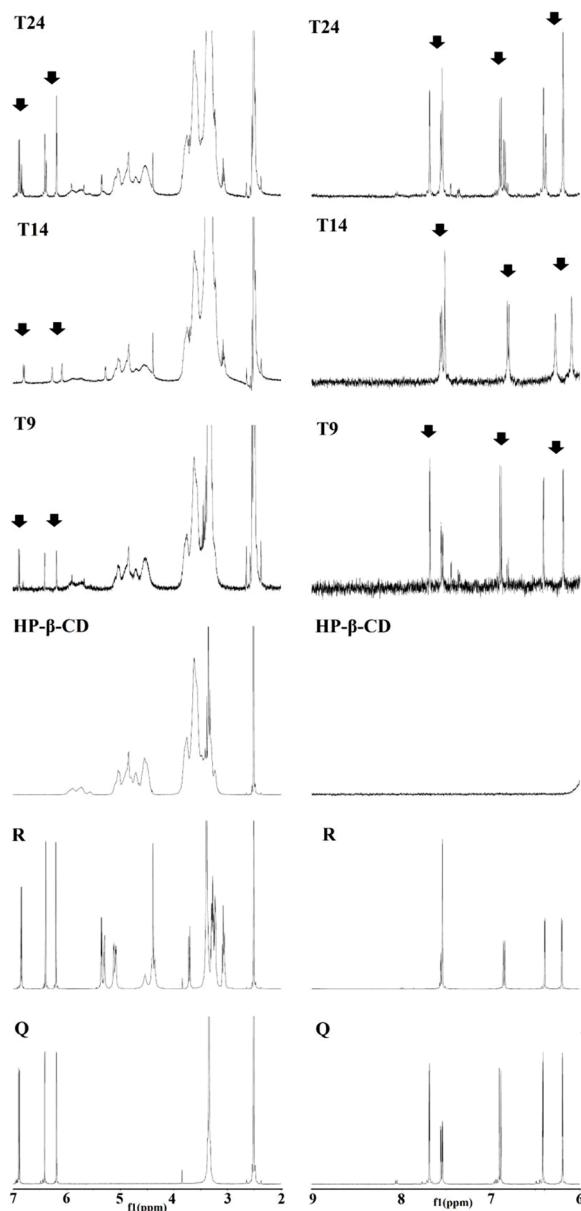


Fig. 2. ^1H -NMR spectra of Q, R, HP- β -CD, and the selected complexes.

% of the complexes were calculated by using the Equation 1 (Nicoletti *et al.* 2020).

$$\text{EE (\%)} = \frac{[\text{Determined drug quantity (mg)}]}{[\text{Theoretical drug quantity (mg)}]} \times 100 \quad (1)$$

2.6. Physicochemical characterization

2.6.1. Zeta potential analyses

Zeta potential (ZP) analyses were performed using Zetasizer Nano ZS (Malvern, UK) at $25^\circ\text{C} \pm 2^\circ\text{C}$ in distilled water.

2.6.2. Nuclear magnetic resonance spectroscopy analyses

Proton nuclear magnetic resonance ($^1\text{H-NMR}$) analyses were performed using Bruker 500 MHz UltraShield NMR (Germany) on pure Q, pure R, pure HP- β -CD, physical mixtures (PMix) and the inclusion complexes. Deutero chloroform (CDCl_3) was used as a solvent for the analyses. Chemical shifts were given in ppm relative to

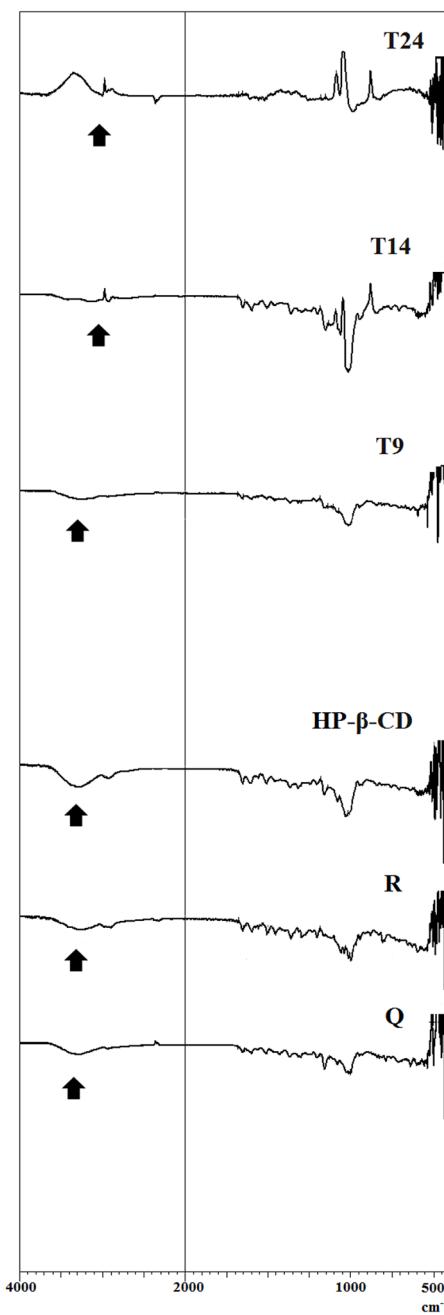


Fig. 3. FT-IR spectra of Q, R, HP- β -CD, and the selected complexes.

tetramethylsilane as an internal standard ($\delta=0$).

2.6.3. Fourier transform infrared spectrophotometry analyses

Fourier-transform infrared spectroscopy (FT-IR) spectra of powdered samples (pure Q, pure R, pure HP- β -CD, PMix and the inclusion complexes) were recorded by Shimadzu IR Prestige-21 (Japan) within $4000-500\text{ cm}^{-1}$ with 1 cm^{-1} resolution.

2.6.4. Thermal analyses

Differential scanning calorimetry (DSC; Shimadzu DSC-60, Japan) analyses were used for determination of thermal behaviours of pure Q, pure R, pure HP- β -CD, PMix and the inclusion complexes. The samples ($4 - 5\text{ mg}$) were sealed into aluminium pans and were heated from 30°C to 350°C with $10^\circ\text{C} \cdot \text{min}^{-1}$ increase rate under nitrogen atmosphere ($50\text{ mL} \cdot \text{min}^{-1}$). An empty aluminum pan was used as a reference during the analyses.

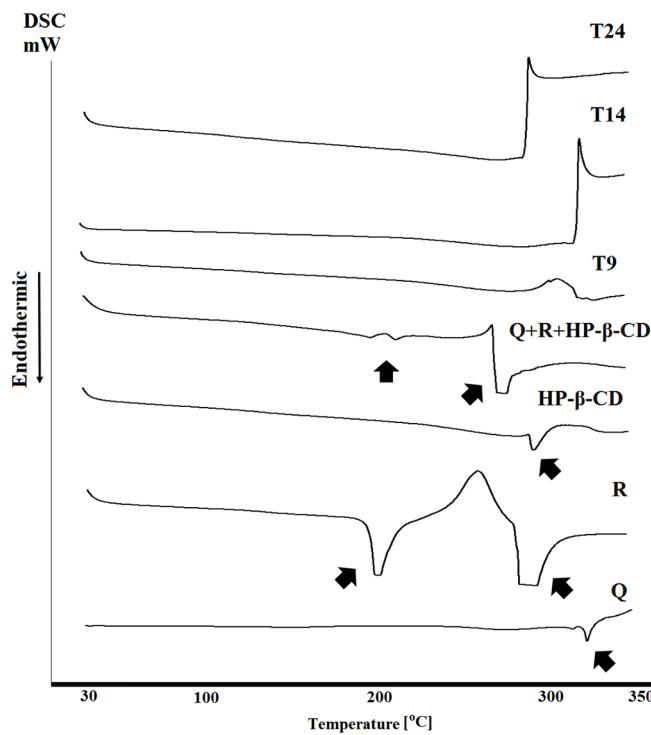


Fig. 4. Thermograms of Q, R, HP- β -CD, physical mixture (Q + R + HP- β -CD) and the selected complexes.

2.6.5. X-Ray diffractometry analyses

X-ray powder diffractometry (XRD) analyses of powdered samples (pure Q, pure R, pure HP- β -CD, PMix and the inclusion complexes) were performed with D/MAX Rint 2000 diffractometer (Rigaku, Japan) using CuK α as a radiation source ($\lambda = 1.5418 \text{ \AA}$, 40 KV and 30 mA) generated at 40 kV and 30 mA current intensity with a scan rate at 2 Θ and 2° min^{-1} in the range of 2° - 40° angles.

2.6.6. In vitro dissolution and release kinetics

Prior to the *in vitro* dissolution studies, the amount of Q, R and inclusion complexes that can be dissolved in water after 1 h under vigorous agitation, was evaluated. Excess amounts of API's and formulations were added to 2 mL of distilled water and the Q and R concentration reached after 1 h under vigorous stirring in water at $25^\circ\text{C} \pm 2^\circ\text{C}$, was evaluated prior to *in vitro* release studies. At the end of the shaking period dispersions were filtered through 0.45 μm polyamide membrane filter and were analyzed by HPLC (Al-Heibshy *et al.*, 2020). *In vitro* dissolution studies of Q and R from HP- β -CD complexes were investigated with dialysis membrane method (Shen and Burgess 2013; Zhou *et al.*, 2020). To simulate gastric fluid, a dissolution medium of pH 1.2 should be employed without enzymes (FDA 1997). In our study complexes containing 1 mg Q and/or 1 mg R was placed in a dialysis bag (Membra-Cel® MC18 \times 100 CLR, Viskase Companies, USA) with MWCO 14000 Da. 1 mL of dissolution medium was added inside the membrane and the bag was sealed from both ends and placed into an amber glass beaker containing 50 mL 0.1N HCl (pH 1.2) solution at $37^\circ\text{C} \pm 0.5^\circ\text{C}$ as the dissolution medium under 100 rpm (WiseStir, Daihan, Korea) stirring. For the prevention of evaporation as well as the protection of Q and R from direct light the receptor compartment was fully covered during the analyses. At determined time intervals the samples (1 mL) were collected and the volume of dissolution medium was kept constant with addition of fresh medium to maintain sink conditions. The withdrawn samples were filtered through pre-saturated polyamide membrane filter (0.22 μm) and were analyzed by HPLC. The experiments were carried out in triplicate. DDSolver software program was used for the evaluation of release kinetics of the complexes (Zhang *et al.*, 2010).

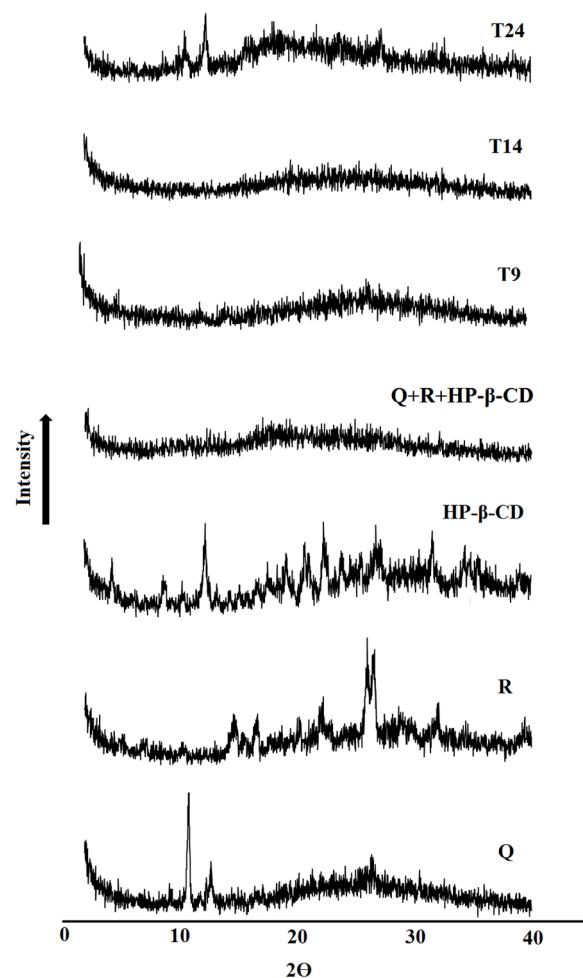


Fig. 5. XRD diffractograms of Q, R, HP- β -CD, physical mixture (Q+R+HP- β -CD) and the selected complexes.

2.7. Cytotoxicity study

The MTT test, developed by Mosmann (1983), is a simple experiment based on the conversion of MTT into formazan crystals by living cells with mitochondrial function and therefore MTT tests were used to determine the activity of prepared compounds and pure substances on different cell lines in our study (Kamiloglu *et al.*, 2020). Three different types of cell were used for the determination of cytotoxicity as well as anticancer activity of the formulations prepared. 3T3 mouse fibroblast cell lines were used as non-cancerous cell line for the evaluation of cytotoxicity of the complexes (Daníhelová 2013). Anticancer activity of the prepared formulations were evaluated on two different types of cancer cell lines; Human Breast Adenocarcinoma (MDA-MB-231) and Human Lung Carcinoma cell lines (A549) (Li *et al.*, 2021; Komi *et al.*, 2022). Briefly, 2×10^4 cells were seeded into 96-well plates on the first day and allowed to incubate. The next day, various concentrations of test compounds in fresh cell culture medium were applied to the wells and left to incubate for 48 hours. After 48 hours of incubation, the components from the wells were withdrawn and 20 μL of MTT dye (5 mg/mL in PBS) was added to the wells. It was left to an extra incubation for 3 hours and 200 μL of DMSO was added to dissolve the formazan crystals onto the wells by drawing the dye. After incubating for half an hour, the color change was read as absorbance at 570 nm in a multi-plate reader (Cytation 5, BioTek, England). The intensity of the colored product in these results is directly proportional to the number of viable cells in the culture. Only wells with added cell culture medium to which no specimens were applied were used as controls their absorbance was

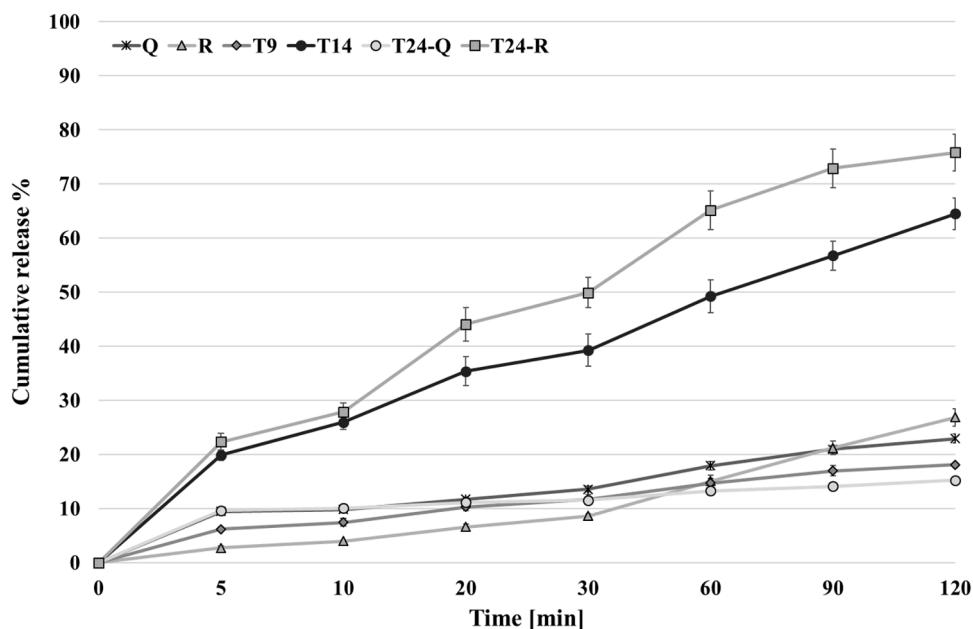
Fig. 6. Dissolution profiles of T9, T14, T24 and pure Q and R ($n = 3$; mean \pm SE).

Table 3
Release kinetic modeling of complexes.

	Kinetic Model	Formulation Codes					
		Pure Q	Pure R	T9	T14	T24 Q	T24 R
Zero Order	k_0 ($\text{mg} \times \text{min}^{-1}$)	0.000 \pm 0.000	0.000 \pm 0.000	0.000 \pm 0.000	0.001 \pm 0.000	0.000 \pm 0.001	0.001 \pm 0.000
	r^2	0.001	0.001	0.000	0.000	-0.010	0.000
	AIC	0.031	0.036	0.038	0.055	0.042	0.058
First order	k_1 (min^{-1})	0.000 \pm 0.000	0.000 \pm 0.001	0.000 \pm 0.002	0.000 \pm 0.000	0.000 \pm 0.000	0.000 \pm 0.000
	r^2	0.001	0.001	0.000	0.000	-0.009	0.001
	AIC	0.030	0.033	0.037	0.051	0.041	0.049
Higuchi	k_H ($\text{mg} \times \text{min}^{-1/2}$)	0.002 \pm 0.001	0.003 \pm 0.000	0.002 \pm 0.000	0.006 \pm 0.000	0.002 \pm 0.000	0.008 \pm 0.000
	r^2	0.001	0.001	0.001	0.001	-0.002	0.001
	AIC	0.004	0.023	0.022	0.038	0.033	0.042
Korsmeyer-Peppas	k_{K-P} (min^{-n})	0.005 \pm 0.000	0.001 \pm 0.000	0.004 \pm 0.000	0.012 \pm 0.000	0.007 \pm 0.000	0.012 \pm 0.000
	n^*	0.000 \pm 0.000	0.001 \pm 0.000	0.000 \pm 0.000	0.000 \pm 0.000	0.000 \pm 0.000	0.000 \pm 0.000
	r^2	0.001	0.001	0.001	0.001	0.001	0.001
Hixson-Crowell	AIC	0.009	0.025	0.000	0.016	0.002	0.035
	k_{H-C} ($\text{mg}^{1/3} \times \text{min}^{-1}$)	0.000 \pm 0.000	0.000 \pm 0.001	0.000 \pm 0.000	0.000 \pm 0.000	0.000 \pm 0.010	0.000 \pm 0.000
	r^2	0.001	0.001	0.000	0.000	-0.009	0.001
	AIC	0.030	0.034	0.037	0.052	0.041	0.052

*n is the parameter indicative of the drug release mechanism for the Korsmeyer-Peppas Model.

Table 4
 IC_{50} values of Q, R, HP- β -CD and the selected complexes.

	IC ₅₀ (μM)					
	Q	R	HP- β -CD	T9	T14	T24
NIH-3T3	80.49	>200	>200	32.3	>200	175
MDA-MB-231	52	155	>200	>200	192.5	105.8
A549	74	>200	>200	>200	>200	141.7

accepted as 100 % cell viability. The data obtained from the other wells were proportional to the control wells and reflected in the graph as % value (Sahinturk *et al.* 2018).

2.8. Antioxidant activity with DPPH

Antioxidant activity of the pure Q, R and the HP- β -CD inclusion complexes were tested by determination of free radical scavenging activities using 2,2-diphenyl-1-picrylhydrazyl (DPPH) with minor modifi-

cations (Rebolledo *et al.* 2021). In this method pure flavonoids and their complexes (containing 100 μg Q or R or Q/R) were dissolved in ethanol (5 mL). Ethanolic solutions of Q, R and complexes with different concentrations ($5 \mu\text{g.mL}^{-1}$, $15 \mu\text{g.mL}^{-1}$, $20 \mu\text{g.mL}^{-1}$) were treated with 2 mL of ethanolic DPPH solution (0.2 mM) and total volumes were adjusted to 4 mL with ethanol. The mixtures were shaken vigorously and kept at room temperature for 30 min as being protected from direct light exposure. The solutions were analyzed at 517 nm with UV-visible spectrophotometer (UV-160A, Shimadzu, Japan) ($n = 6$) for the determination of decrease in absorbance of the resulting solutions. 2 mL ethanol and 2 mL of ethanolic DPPH solution (0.2 mM) served as positive and negative controls. The DPPH scavenging rates were calculated according to the following Equation 2 where A_{control} was the absorbance value of the blank and A_{sample} was the absorbance value of tested samples (Wang *et al.*, 2018).

$$\text{DPPH scavenging activity (\%)} = [A_{\text{control}} - A_{\text{sample}} / A_{\text{control}}] \times 100 \quad (2)$$

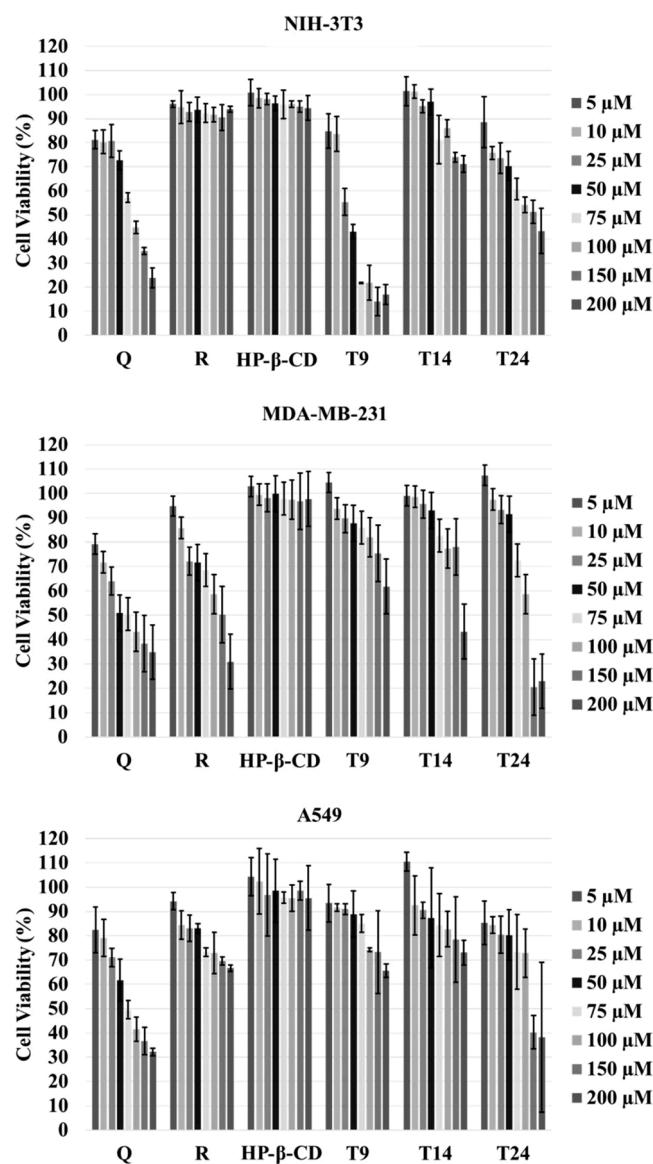


Fig. 7. Cytotoxicity results of Q, R, HP- β -CD and the selected complexes (n = 3; mean \pm SD).

2.9. Statistical evaluation

The analyses data were presented as the mean \pm standard error (SE). Differences between groups and the control groups were analyzed using regression and one way ANOVA analyses, with Minitab 19. A p-value < 0.05 was considered to be statistically significant.

3. Results and Discussions

3.1. Determination of Q and R by HPLC analyses

In HPLC analyses the linearity range of R and Q was 10-100 $\mu\text{g}\cdot\text{mL}^{-1}$ and regression equation was $y = 104762x - 40480.42$ (slope: 104762.00 \pm 5828.89; intercept: -40480.42 ± 43036.39) for Q, and $y = 41659.39x - 62273.33$ (slope: 41659.39 \pm 678.76; intercept: -62273.33 ± 23694.20) for R. Correlation coefficient (r^2) of R was 0.9998 ± 0.0009 and for Q $r^2 = 0.9996 \pm 0.0001$. Limit of detection (LOD) and lower limit of quantification (LLOQ) were calculated based on the "Standard Deviation of the Response and the Slope" approach. LOD and LLOQ values for R and Q were found to be $3.253 \mu\text{g}\cdot\text{mL}^{-1}$, $9.857 \mu\text{g}\cdot\text{mL}^{-1}$ and $2.677 \mu\text{g}\cdot\text{mL}^{-1}$, $8.112 \mu\text{g}\cdot\text{mL}^{-1}$ respectively. Recovery of the method was 98-102 %.

With RSD values of $<2\%$ the method for R and Q was regarded as precise due to repeatability and intermediate precision. Validation studies were performed according to the ICH guidelines and analysis results fulfill the ICH requirements (ICH Q2 R1, 2020).

3.2. Determination of complex formation equilibration time

Formation of CD inclusion complexes alters the physicochemical properties of the guest molecule (Nicoletti *et al.*, 2020). The solubility rates of Q and R and Q/R (1:1, w/w) in HP- β -CD stock solution (10 mM) were evaluated during 72 hours under strong agitation of 300 rpm. At predetermined time intervals samples were taken and were analyzed by HPLC. The solubility of the active agents were remained unchanged after 24 hours therefore equilibrium time for the complexes were stated as 24 hours for further formulation studies.

3.3. Determination of the entrapment efficiency and solubility characteristics

The yields were varied from $\sim 45\%$ to 76% , while the amount of the APIs encapsulated into complexes were varied from $\sim 81\%$ to 101% (Table 2). According to the results presented in Table 2, complexation with HP- β -CD has remarkably increased the amount of both active agents dissolved in water after 1 h under vigorous agitation. More specifically, an increase from $1.5 \mu\text{g}\cdot\text{mL}^{-1}$ to $945.6 \mu\text{g}\cdot\text{mL}^{-1}$ and from $34.3 \mu\text{g}\cdot\text{mL}^{-1}$ to $1901.4 \mu\text{g}\cdot\text{mL}^{-1}$ was observed for Q and R, respectively, indicating a corresponding increase in the aqueous solubility of both Q and R of about ~ 630 fold and ~ 55 fold, respectively, depending on the type of production method (Table 2) (Jantarat *et al.*, 2014).

3.4. Physicochemical characterization

3.4.1. Zeta potential analyses

The ZP values of the colloidal particles are one of the most important parameters for the cellular uptake therefore ZP analyses were performed in this study (Al-Qubaisi *et al.*, 2019). ZP values over ± 30 mV regarded as the basic principle of physical stability of the colloidal dispersions considering electrostatic repulsions within the particles (Pinheiro *et al.*, 2020). In our study ZP values of the inclusion complexes were valued $-6.1 \text{ x to } -21.7$ mV due to the hydroxyl groups of the HP- β -CD (Table 2) (Yan *et al.*, 2019). Inclusion of R has decreased the ZP values compared to Q incorporated inclusion complexes however when Q and R were incorporated together the ZP values were valued -11 mV to -20 mV (Elmi *et al.*, 2021). And avoidance of shaking step has influenced the ZP values most probably influencing the amount of hydroxyl groups on the surface of HP- β -CD inclusion complexes. Analyses results demonstrated that besides the formulation components, the production method also has an influence on ZP values of the complexes (Table 2) (Remanan and Zhu 2021).

3.4.2. Nuclear magnetic resonance spectroscopy

NMR analysis is most powerful tool used to determine the inclusion of a guest molecule into the hydrophobic CD cavity in solution which can be identified by comparing the chemical shifts of the free guest molecule and CD with those of its complex. Being more sensitive than carbon NMR spectroscopy, proton NMR spectroscopy has been widely used. $^1\text{H-NMR}$ analyses were performed for the determination of structural changes with the determination of chemical shifts of the selected protons during complexation process (Laquintana *et al.*, 2019). Formation of the inclusion complexes were clearly determined by $^1\text{H-NMR}$ analyses by shifted protons of R, Q and HP- β -CD within the range of 2-7 ppm and 6-9 ppm (Fig. 1).

In $^1\text{H-NMR}$ spectrum of HP- β -CD there were several characteristic signals at 5.47–5.92 (m, OH-2, OH-3, 14 H), 5.03 (s, H-C1, 7 H) and 4.84 (s, OH-6, 7 H) ppm and changes in these signals demonstrated the

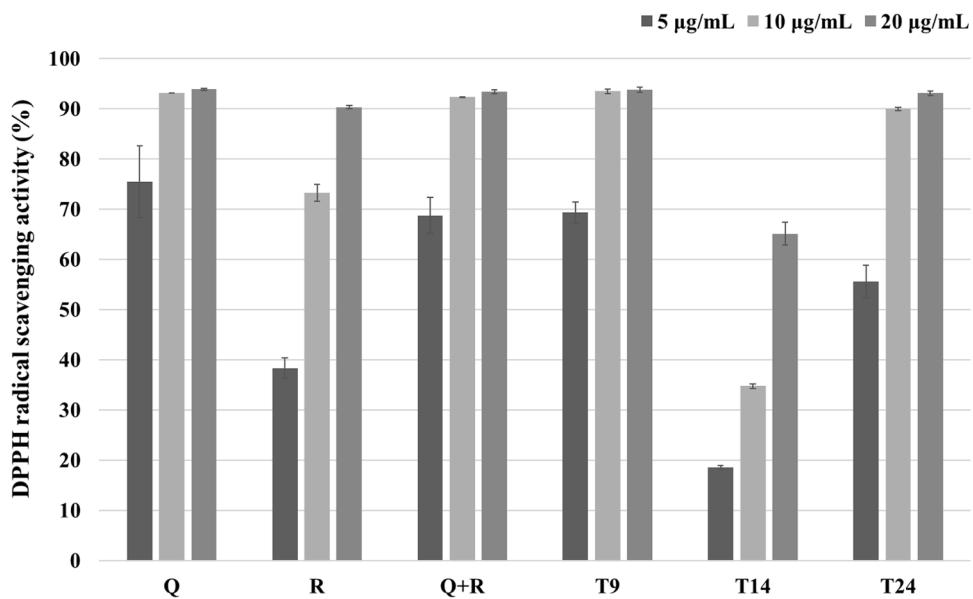


Fig. 8. DPPH analyses results of Q, R, and the selected complexes ($n = 3$; mean \pm SE).

successful binding of Q and R to HP- β -CD (Yan *et al.*, 2019). The presence of Q caused a significant downfield shift for the protons (H2 and H7) of HP- β -CD for T9 formulation. On the other hand, the outside H1 showed a clear up-field shift, confirming that the H2 and H7 protons were more affected by the guest molecule (Laquintana *et al.*, 2019). The presence of R caused a highest downfield shift of CD's proton 1 (H1) for T14 formulation suggestion that inclusion (Fig. 1). In the ^1H -NMR spectra of the formed inclusion compounds, R protons showed an upfield displacement due to a variation of local polarity and, also to the weak interactions with CD cavity hydrogen atoms (Fig. 1) (Nicoleșcu *et al.*, 2010).

According to the results presented in Table 2 and chemical shift data of ^1H -NMR analyses T9, T14, and T24 were selected as optimum complexes for further studies therefore only the results of the analyses of the selected formulations were presented in the manuscript. Spectra illustrated the ^1H NMR spectroscopy clearly showed the complex formation in comparison with pure R, Q and HP- β -CD spectra (as ppm) (Fig. 2).

3.4.3. Fourier transform infrared spectrophotometry

FT-IR analyses were performed considering the changes in the characteristic signals of pure APIs confirming the formation of the complex as a new compound with different spectroscopic signals (Sri *et al.*, 2007). HP- β -CD showed characteristic peaks of functional groups and vibrations including O-H stretching at 3340 cm^{-1} , H-O-H bending at 1653 cm^{-1} , C-O band of COOH at 1159 cm^{-1} , C-O-C bending at 1028 cm^{-1} (Buko *et al.*, 2020). The Q showed a phenolic -OH band around 3298 cm^{-1} , a characteristic -CO stretching at 1653 cm^{-1} , bending and aromatic stretching around 1010 cm^{-1} , -OH bending phenolically around 1157 cm^{-1} (Valencia *et al.*, 2021). The signals at 3282 cm^{-1} , 1653 cm^{-1} , 1157 cm^{-1} referring O-H bending, C=O stretching and C-O-C respectively detected for pure R (Fig. 3) (Patil and Jobanputra 2015; Remanan and Zhu 2020). Signals of the characteristic bands of Q and R were shifted especially for O-H bending signals showing the formation of inclusion complexes successfully with HP- β -CD (Pereira *et al.*, 2020). For Q; 3298 cm^{-1} signal was shifted to 3277 cm^{-1} in T9 formulation while for R that band was shifted from 3282 cm^{-1} to 3142 cm^{-1} in T14 formulation. For T24 formulation incorporations of both Q and R have shifted the O-H band to 3001 cm^{-1} (marked with arrows) (Fig. 3).

3.4.4. Thermal analyses

DSC analyses gives possibility to reveal the structural differentiations during complex formations therefore reduction in the intensities,

appearance and/or disappearance of the signals together with a shift to other peaks refers to mostly formation of new interactions and successful formation of complexes (Wang *et al.*, 2020; Valencia *et al.*, 2021). In our study pure Q and R showed endothermic peaks at $315\text{ }^\circ\text{C}$ and $198\text{ }^\circ\text{C}$ respectively (Fig. 4). The possible melting/decomposition peak was revealed at $298\text{ }^\circ\text{C}$ for R which was also revealed in the thermogram of physical mixture. The thermogram of HP- β -CD corresponded to the melting and decomposition of the substance at $300\text{ }^\circ\text{C}$ (Buko *et al.*, 2020). The melting points of the active molecules have almost disappeared in the thermograms of the inclusion complexes showing that Q and R located in the inner cavity of the HP- β -CD in amorphous forms (Pralhad and Rajendrakumar 2004; Qu *et al.*, 2021).

3.4.5. X-Ray diffraction

As many of the previous studies crystallinity or amorphous state as well as the existence of Q and R in the powdered inclusion complexes were evaluated by XRD analyses (Yan *et al.*, 2019; Liu *et al.*, 2020). XRD diffractograms of Q, R and HP- β -CD showed crystalline structure while T9 and T14 formulations were in amorphous state. T24 showed a little crystallinity most probably excess amount of Q and R (Fig. 5) since it was stated with both ^1H -NMR (Fig. 2) and FT-IR (Fig. 3) analyses results (Fig. 5). Crystalline signals of T24 formulation could be attributed to the effect of production method on the structural differences of the final products (Fig. 5).

3.4.6. In vitro dissolution and release kinetics

In our study complex formations with HP- β -CD has enhanced the water solubility of Q and R while decreasing the crystallinity of APIs due to the formation of the inclusion complexes. More specifically, as already mentioned, the amount of Q that can be dissolved in water under vigorous agitation for 1 h, has increased from $1.5\text{ }\mu\text{g.mL}^{-1}$ to $945.6\text{ }\mu\text{g.mL}^{-1}$ while for R it was raised to $1901.4\text{ }\mu\text{g.mL}^{-1}$ from $34.3\text{ }\mu\text{g.mL}^{-1}$, indicating a corresponding increase in the aqueous solubility of both Q and R (Table 2) (Jantarat *et al.*, 2014). Furthermore, *in vitro* release of the APIs from the complexes was much faster than pure Q and R, especially for R, as revealed from the release profiles presented in Fig. 6 (Sri *et al.*, 2007). The *in vitro* release of pure Q reached 22.9 % while it was 26.8 % for R at the end of 2 hours and *in vitro* release of pure R increased up to 64.5 % and 75.8 % with T14 and T24 formulations respectively. However complex formation has decreased the *in vitro* release of Q from 22.9 % to 18.1 % and 15.3 % from T9 and T24 formulations respectively even with enhanced solubility rates. This could

be attributed to much successfull location of Q in the inner cavity of HP- β -CD than R with respect to smaller molecular weight (Liu *et al.*, 2020; Al-Qubaisi *et al.*, 2019). DDSolver computer program was used to determine best fitted kinetic model for our complexes considering the highest rate constant (k) and determination coefficient (r^2) values and the lowest Akaike information criterion (AIC) (Zhang *et al.*, 2010; Güven and Yenilmez 2019; Wójcik-Pastuszka *et al.*, 2019). For pure Q and R best fitted kinetic model was determined as Higuchi while for inclusion complexes Korsmeyer-Peppas model was determined to be the best fitted kinetic model ruled by both diffusion of the drug and dissolution/erosion of the carrier matrix (Table 3) (Al-Heibshy *et al.* 2016).

3.5. Cytotoxicity study

Although CDs are preferred in pharmaceutical formulation to increase the solubility, bioavailability and stability of many drugs, their structure and cytotoxic properties are important factors for more successfull drug delivery (Staedler *et al.*, 2011). Therefore, after the characterization analyses, their cytotoxic properties were evaluated with MTT analyses and, IC₅₀ values and cell viability test results were given in Table 4 and Fig. 7. For cytotoxic evaluations NIH-3T3 mouse fibroblast cells were used as non-cancerous cell lines (Danahelova 2013). According to the MTT analyses results pure Q showed cytotoxic effects only with the concentrations over 50 μ M, while R and HP- β -CD showed no cytotoxicity with all concentrations on NIH-3T3 cell lines (Table 4, Fig. 7). It has been reported that hydroxypropyl groups reduce the toxic properties of natural α -, β - and γ -CD which also ultimately supports the data of our study (Leroy-Lechat *et al.*, 1994; Laza-Knoerr *et al.*, 2010; Róka *et al.* 2015).

In correlation with the MTT results of pure Q, significant cell deaths ($p < 0.05$) were observed for T9 complex just after 10 μ M while T14 formulation showed no cytotoxicity within the 5-200 μ M concentration range on NIH-3T3 cell lines. Incorporation of both flavonoids has slightly influenced the cytotoxicity of T24 compared to T9 and T14 formulations and T24 can be regarded as safe within the range of 5-150 μ M on NIH-3T3 cell lines (Table 4, Fig. 7).

Anticancer activity of the prepared formulations were evaluated on two different types of cancer cell lines; MDA-MB-231 and A549 (Li *et al.*, 2021; Komi *et al.*, 2022). Flavonoids showed cell dependant cytotoxicity considering the MTT analyses results (Fig. 7). Both Q and R showed remarkable cell deaths ($p < 0.05$) on MDA-MB-231 cell lines with IC₅₀ values at 50 μ M and 150 μ M respectively while HP- β -CD showed no cytotoxicity at all concentrations (Table 4, Fig. 7). Despite being cytotoxic to NIH-3T3 cell lines, T9 did not show any cytotoxic effect on MDA-MB-231 cell lines. On the contrary T14 formulation showed higher toxicity on MDA-MB-231 than NIH-3T3 cell lines. Presence of both Q and R showed synergistic effect on anticancer activity of the formulation and the lowest cell viability values were determined with T24 formulation showing the efficacy of the formulation on MDA-MB-231 cell lines (Fig. 7). For A549 cell lines only pure Q showed cytotoxic effect over 75 μ M while R and HP- β -CD were safe within the studied concentration range. T9 and T14 formulations also showed no cytotoxicity while T24 could decrease the viable cell ratios only with 150 μ M and higher concentrations (IC₅₀: 141.7) (Table 4, Fig. 7). In conclusion MTT analyses results revealed that both pure substances and inclusion complexes showed dose and cell type dependant cytotoxicity which once again highlighted the importance of compositions of formulation and selection of the cells for proper efficacy and evaluation.

3.6. Antioxidant activity

Antioxidant activity of the HP- β -CD inclusion complexes were evaluated by DPPH analyses. In this assay the antioxidant activity of the analyzed sample can easily be determined with the change of the stable deep violet colored radical DPPH to the yellow-colored diphenyl-picrylhydrazine (Alam, Bristi, and Rafiquzzaman 2013) Hadidi *et al.*, 2020;

Arya and Raghav 2021). In our study Q has shown the highest antioxidant activity of 93.9 % with 20 μ g.mL⁻¹ while it was 90.3 % with 20 μ g.mL⁻¹ for R (Fig. 8). Among the selected complexes T9 (Q), T14 (R) and T24 (Q/R) the highest activities were 93.8 %, 65.3 % and 93.1 % respectively (Fig. 8). Analyses results revealed that complex formation did not influence the antioxidant activity of Q however it has considerably decreased the antioxydant activity of R (Fig. 7). It has been stated that R showed lower antioxidant capacity than its aglycon, Q, in both DPPH and with other antioxidant activity evaluation methods (López *et al.*, 2003). Incorporation of Q and R together did not enhance the antioxidant activity of the HP- β -CD inclusion complexes in greater extent (Fig. 8) (Cruz-Zúñiga *et al.*, 2016). Former studies also supported these results with the explanation that use of different phenolic compounds as mixtures can decrease the total antioxidant capacity of the system due to the interactions like hydrogen bond formations within the compounds used (Iacopini *et al.*, 2008).

4. Conclusion

In this study Q, R and Q/R inclusion complexes were prepared with HP- β -CD by freeze drying and solvent evaporation methods successfully. ¹H-NMR, FT-IR, DSC and XRD analyses were performed for structural determinations. Inclusion complex formation leaded to improved dissolution and water solubility properties of Q and R. According to MTT analysis results, Q and R and inclusion complexes prepared showed dose dependant cytotoxicity even on MDA-MB-231 and A549 cell lines. DPPH analyses results stated that, incorporation of Q into inclusion complexes did not influence the antioxidant activity of the API while the antioxidant activity of R was decreased significantly. Incorporation of Q and R together did not enhance the antioxidant activity of the inclusion complexes in great extent however comparable results were achieved with pure substances. In conclusion, the enhanced *in vitro* dissolution characteristics of Q and R due to formation of HP- β -CD based inclusion complexes, may enable more effective formulations to be developed with potential enhanced bioavailability of the two important flavonoids.

Authors Contributions

Ebru Başaran: Conceptualization, Methodology, Formulation, Validation, Characterization, Experimental Studies, Visualization, Writing- Reviewing and Editing; **A. Alper ÖZTÜRK:** Formulation, Validation, Characterization, Experimental Studies, **Behiye ŞENEL** Investigation of cell culture studies; **Müzeyyen Demirel:** Conceptualization, Methodology, Validation, Visualization, Supervision, Writing-Reviewing and Editing. **Şenay SARICA:** Methodology, Supervision.

Declaration of Competing Interest

The authors declare no conflict of interest.

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